

# ENTERTAINMENT AND INVESTMENT COUNSELING/ MONEY MANAGEMENT SUPPLEMENTAL APPLICATION

NAME OF FIRM \_\_\_\_\_  
(Please Print)

1. Provide a brief description of the nature and scope of your representation.
  
  
  
  
  
  
  
  
  
  
2. List all entertainment and sports clients who are public figures  
(Attach a supplemental sheet if necessary).
  
  
  
  
  
  
  
  
  
  
3. Does your firm have the authority to write or sign checks for any of your  
entertainment, sports or investment clients?  Yes  No  
If YES, explain.
  
  
  
  
  
  
  
  
  
  
4. Does any member of your firm:
  - a) Receive commissions, fees, reciprocity, or revenue for sale, promotion  
or recommendation of investments or tax shelters?  Yes  No
  - b) Organize, arrange or procure investments, real estate or tax shelters?  Yes  No
  - c) Participate in the management of any investment partnership, limited  
partnership or other investment venture?  Yes  No
  - d) Make recommendations as to the sale or purchase of specific stocks,  
bonds or other securities?  Yes  No

If "yes" to any of the above, please provide details below (nature of services,  
number of clients, types of investments, etc.)

5. Does your firm receive any compensation from lenders for arranging financing?  
If “yes”, explain.  Yes  No

6. Does your firm negotiate or arrange financing other than normal contract  
If “yes”, explain.  Yes  No

7. Does your firm or any related or controlled entity represent both a performer and any  
company with which the performer has an agreement, relationship or contract?  Yes  No

If “yes”, identify the performer and the nature and scope of the contract or  
relationship between the performer and the company by attachment.

I understand the information submitted herein becomes a part of my Professional Liability Insurance Application  
and subject to the same warranty and conditions.

**NOTICE TO NEW YORK APPLICANTS:**

Any person who knowingly and with intent to defraud any insurance company or other person files an application  
for insurance or statement of claim containing any materially false information, or conceals for the purpose of  
misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime,  
and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for  
each such violation.

Signature of Owner, Officer or Partner	Title	Date
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