



Property and Casualty Insurance Company of Hartford

Name of Insurance Company to which Application is made

NEW YORK LAWYERS PROFESSIONAL LIABILITY INSURANCE RENEWAL APPLICATION

NOTICE: THIS IS A CLAIMS-MADE POLICY. THE COVERAGE OF THIS POLICY IS LIMITED TO LIABILITY FOR WRONGFUL ACTS FOR WHICH CLAIMS ARE FIRST MADE WHILE THE POLICY IS IN FORCE AND WHICH ARE REPORTED TO THE COMPANY, DURING THE POLICY PERIOD, ANY SUBSEQUENT RENEWAL, OR ANY EXTENDED REPORTING PERIOD. THIS POLICY PROVIDES NO COVERAGE FOR CLAIMS ARISING OUT OF WRONGFUL ACTS WHICH OCCURRED PRIOR TO ANY APPLICABLE RETROACTIVE DATE. THE INSURED WILL HAVE THE OPTION TO PURCHASE A ONE, THREE, FIVE YEAR OR AN UNLIMITED OPTIONAL EXTENDED REPORTING PERIOD. THE PREMIUM FOR THE EXTENDED REPORTING PERIOD SHALL BE AS FOLLOWS:

- ONE YEAR - 100% OF THE ANNUAL PREMIUM
THREE YEARS - 160% OF THE ANNUAL PREMIUM
FIVE YEARS - 185% OF THE ANNUAL PREMIUM
UNLIMITED - 200% OF THE ANNUAL PREMIUM

COVERAGE GAPS MAY ARISE AT THE EXPIRATION OF THE POLICY, AUTOMATIC EXTENDED REPORTING PERIOD OR OPTIONAL EXTENDED REPORTING PERIOD. DURING THE FIRST SEVERAL YEARS OF THE CLAIMS MADE RELATIONSHIP, CLAIMS-MADE RATES ARE COMPARATIVELY LOWER THAN OCCURRENCE RATES, AND THE INSURED CAN EXPECT SUBSTANTIAL ANNUAL PREMIUM INCREASES, INDEPENDENT OF THE OVERALL RATE LEVEL INCREASES, UNTIL THE CLAIMS-MADE RELATIONSHIP REACHES MATURITY. PLEASE READ AND REVIEW THE POLICY CAREFULLY.

THIS POLICY IS WRITTEN ON A DEFENSE WITHIN THE LIMITS BASIS, UNLESS OTHERWISE ENDORSED BY THE POLICY. THE LIMIT OF LIABILITY AVAILABLE TO PAY LOSS, INCLUDING JUDGMENT OR SETTLEMENT AMOUNTS, SHALL BE REDUCED OR MAY BE COMPLETELY EXHAUSTED BY AMOUNTS INCURRED FOR LEGAL DEFENSE AND OTHER CLAIMS EXPENSES IF THE LIMITS OF LIABILITY PER CLAIM ARE A MINIMUM OF \$500,000. FURTHER NOTE THAT AMOUNTS INCURRED FOR LEGAL DEFENSE AND OTHER CLAIMS EXPENSES SHALL BE APPLIED AGAINST THE APPLICABLE DEDUCTIBLE, UNLESS OTHERWISE ENDORSED BY THE POLICY. ONCE THE LIMIT OF LIABILITY IS EXHAUSTED, THE COMPANY SHALL NOT BE LIABLE FOR LEGAL DEFENSE COSTS, OTHER CLAIM EXPENSES OR FOR THE AMOUNT OF ANY JUDGEMENT OR SETTLEMENT.

THE RETROACTIVE DATE MAY NOT BE CHANGED DURING THE TERM OF THE CLAIMS MADE RELATIONSHIP AND ANY EXTENDED REPORTING PERIOD.

This is an application for a CLAIMS-MADE Policy

If a policy is issued this application will attach to and become part of the policy, therefore, it is important all questions are answered accurately. If additional space is required, please provide complete details on Firm's letterhead.

EXPIRING POLICY NUMBER: _____

EXPIRATION DATE OF POLICY: _____

Limits of Liability Requested:

- Limit options: \$100,000/\$300,000, \$200,000/\$600,000, \$250,000/\$500,000, \$500,000/\$500,000, \$500,000/\$1,000,000, \$1,000,000/\$1,000,000, \$1,000,000/\$2,000,000, \$2,000,000/\$2,000,000, \$2,000,000/\$4,000,000, \$3,000,000/\$3,000,000, \$4,000,000/\$4,000,000, \$5,000,000/\$5,000,000, and Other: \$_____

Deductible Amount Requested:

- Deductible options: \$1,000, \$2,000, \$2,500, \$3,000, \$4,000, \$5,000, \$10,000, \$15,000, \$20,000, \$25,000, \$35,000, \$50,000, and Other: \$_____

Legal Name of Firm: _____

- 1. Has the firm's name, principal address, telephone number, fax number, email or website changed?
2. Since the completion of the Firm's last application, have any lawyers;
a. Joined the Firm that were not previously reported to us?
b. Left the Firm that were not previously reported to us?
c. Have there been any changes to the number of non-attorney employees?
3. Provide last fiscal year's revenues \$_____
4. Provide the total number of hours of continuing education for all attorneys within the past twelve months: _____
5. During the past twelve months, have there been any changes to the Firm's systems and procedures?

If "Yes", please provide complete details on a separate sheet.

6. Since completion of the Firm's last application has the Firm sued to collect fees or threatened to do so? Yes No
 If "Yes", please indicate number _____ and explain the steps being taken to prevent countersuits for malpractice.
7. Since the completion of the Firm's last application, has the Firm or any attorney of the Firm been made aware of a claim or circumstances that could result in a claim or has there been a change in the status of any claim reported to other insurance companies within the past five years? Yes No
 If "Yes", please indicate how many _____ and complete a separate Supplemental Claim Form for each claim.
8. Since the completion of the Firm's last application, has any attorney of the Firm been refused admission to practice, disbarred, suspended, reprimanded, sanctioned, or held in contempt by any court, administrative agency or regulatory body or been the subject of a disciplinary complaint made to any of the aforementioned entities? ... Yes No
 If "Yes", please provide complete details.
9. Based on the Firm's gross revenue for the last fiscal year, indicate the percentage of revenue derived from the following areas of practice. **The total must equal 100%.**

Area of Practice	Current Year	Area of Practice	Current Year
Administrative	%	Investment Counseling/Money Management	%
Admiralty/Maritime – Defense	%	Loans	%
Admiralty/Maritime – Plaintiff (6)	%	Labor Law – Management	%
Antitrust/Trade Regulation	%	Labor Law – Union	%
Arbitration/Mediation	%	Labor Litigation- Defense	%
Aviation	%	Labor Litigation – Plaintiff (6)	%
Banking/Financial Institutions (1)	%	Litigation – Commercial – Defense	%
Bankruptcy	%	Litigation – Commercial – Plaintiff (6)	%
BI/PI – Defense	%	Mergers and Acquisitions	%
BI/PI – Plaintiff (6)		Municipal/Governmental – Zoning & Planning	
General Liability (6)	%	Municipal/Governmental – Other (Not Bonds)	%
Medical Malpractice (6)	%	Oil/Gas/Minerals	%
Other Plaintiff (6)	%	Patent (2)	%
Civil Rights/Discrimination	%	Public Utilities	%
Collection/Repossession/Foreclosures	%	Real Estate (4)	
Communication/FCC	%	Real Estate – Commercial (4)	%
Copyright/Trademark (Not Patent) (2)	%	Real Estate – Escrow Agent (4)	%
Corporate – Formation/Alteration	%	Real Estate – Residential (4)	%
Corporate – General*		Real Estate – Title Work (4)	%
*If >25%, please provide complete details on separate sheet.	%	Real Estate – Syndication/Development (4)	%
Criminal	%	School Law	%
Family Law	%	Securities/Bonds/Secured Transactions (5)	%
Divorce	%	Social Security/Elder Law	%
All other Family Law	%	Taxation	
Eminent Domain	%	Tax – Corporate/Business Opinions	%
Employee Benefit Plans/ERISA	%	Tax – Corporate/Business Preparations	%
Entertainment/Sports (3)	%	Tax – Individual	%
Environmental – General (4)	%	Water Rights	%
Environmental – Litigation	%	Wills/Estate Planning/Probate/Trusts	%
Foreign (Non-U.S. Law)/International	%	Workers Compensation – Defense	%
Healthcare	%	Workers Compensation – Plaintiff (6)	%
Immigration	%	Other (Describe):	
Insurance	%		%
The total must equal 100%			%

If the Firm practices in any area(s) with a numerical notation(s), complete the associated Supplement as follows:

- (1) = Financial Institutions (3) = Entertainment (5) = Securities
 (2) = Copyright Patent Trademark (4) = Real Estate (6) = Plaintiff Litigation

To obtain supplements go to: http://www.target-capital.com/apps_forms.asp

(SUPPLEMENTS ONLY NEED TO BE COMPLETED IF AN AREA OF PRACTICE IS NEW TO THE FIRM OR THE PERCENTAGE OF ANY INDIVIDUAL AREA OF PRACTICE INCREASES BY 25% FROM LAST YEAR)

NEW YORK REGULATION 107 DEFENSE WITHIN LIMITS ACKNOWLEDGEMENT

I understand and acknowledge that the policy may contain a Defense Within Limits provision which means that **claim expenses** will reduce the **limit of liability** and may exhaust it completely and should that occur, the **insured** shall be liable for any further **damages** or **claim expenses**. In addition, **claim expenses** are applied against the retention.

SIGNING THIS FORM DOES NOT BIND THE APPLICANT FIRM OR THE COMPANY TO COMPLETE THE INSURANCE. APPLICATION MUST BE SIGNED AND DATED BY AN OWNER, PARTNER OR OFFICER OF THE APPLICANT FIRM.

FRAUD WARNING STATEMENTS

NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."

Signature: _____

Title: _____

Print Name: _____

Date: _____